

SELF-DIRECTED

Explicit Pricing

This form is to be used for Self-directed clients only, on Explicit Pricing. The ISA allowance for all investors is £15,240 for the 2016/2017 tax year.

Please complete this application form using black ink and BLOCK CAPITALS and return to: Club Finance Ltd 9 Amberside House, Wood Lane, Hemel Hempstead, Herts, HP2 4TP

Cofunds Intermediary Authorisation Code

Intermediary's Client/Deal Reference

1 Personal details (please complete this section in full)

Existing Cofunds Client Reference

Permanent residential address

Mr/Mrs/Ms/Miss/Other

Surname

Full first name(s)

Male Female Date of Birth / / _{D D / M M / Y Y Y Y}

National Insurance Number / / / /

If you **don't** have a National Insurance Number, please tick here

Please read the following sentence and confirm by ticking the box if applicable. If you can't confirm and tick the box, please complete the Individual FATCA Self-Certification Form that can be issued to you by your intermediary.

I confirm that I am solely UK resident for tax purposes and not a US citizen

If at current address for less than two years, please supply previous address

If more than one previous address in the last two years, please provide full details including the time at each address on a separate sheet of paper and staple securely to this application form.

2 Segmentation (for intermediary use only)

Please enter the full name of the segment as known by Cofunds using BLOCK CAPITALS

3 Funding your investment (tick all that apply)

Cheque (made payable to Cofunds Limited, in the name of the person funding the investment)

Amount £

Cofunds Cash Account

Amount £

Monthly Direct Debit (please ensure you complete the Investment by Direct Debit instruction on page 3)

4 Nominated bank account

Complete this section to set up a new nominated bank account. We'll use the details below to pay any future income/withdrawals to.

Name of account holder

Bank/Building Society Account Number

Branch Sort Code

 - -

Building Society Roll Number

5 Investment selection

For further details about the available funds, please refer to the Key Investor Information Documents (KIID)s and/or Fund Key Features Document.

I've included a separate list of funds with this form or I've listed funds below

| Fund manager, fund name and share class | Type of unit/share (delete as appropriate) ¹ | Lump sum £1,000 per fund (minimum) | New monthly saving £100 per fund (minimum) |
|---|---|------------------------------------|--|
| (example) World Equity Z Fund | N/A | £ | £ |
| | ACC/INC | £ | £ |
| | ACC/INC | £ | £ |
| | ACC/INC | £ | £ |
| | ACC/INC | £ | £ |
| | ACC/INC | £ | £ |
| | ACC/INC | £ | £ |
| Cash Reserve (this will be included as part of your ISA allowance and not placed into your cash account) | | £ | £ |
| Cofunds Cash Account (this will be placed into your cash account and isn't included as part of your ISA allowance) | | £ | N/A |
| TOTAL AMOUNT | | £ | £ |

¹ACC/INC – if you don't specify ACC or INC in this column, and don't complete Section 6, Cofunds will invest into accumulation units/shares where available.

5A. NEW MONTHLY SAVING (please tick one of the following options)

Start as soon as possible² (default) – we'll aim to collect your first payment on the 25th of this month

Specify a month – we'll collect your first payment on the date you provide

2 5 / ____ / _____

² Depending on when we receive your application, we may not collect until the 25th of the following month.

6 Income (please tick one of the following options)

The option you choose will be applied to all income units/shares you hold within this product.

Retain in fund (default) – reinvest any income back into the fund

Consolidated monthly income – pay any income to my bank account on a monthly basis

Cofunds Cash Account – pay any income into my Cofunds Cash Account

If you already hold income units/shares within this product and you don't tick one of the boxes above, we'll apply your existing income option.

7 Service charge (for intermediary use only)

Service charge model name

Annual service charge³

%

VAT⁴

Yes

No

³ Taken monthly. If you have a tiered service charge you should enter the highest possible percentage.

⁴ Cofunds will use the VAT rate that's in place at the end of the month for which the calculation is being performed.

8 Declaration and authorisation

8A. I declare that

I am aged 18 years or over.

The information contained in this application form is correct to the best of my knowledge and belief.

I have not received financial advice from a financial adviser in relation to this investment. All investment subscriptions made now and in the future belong to me.

I am applying to subscribe to a stocks and shares ISA for the 2016/2017 tax year and each subsequent year until further notice.

I have not subscribed and will not subscribe to more than my overall subscription allowance in total to a cash ISA and a stocks and shares ISA in the same tax year.

I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.

I am resident in the United Kingdom for tax purposes, or, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties. I will inform Cofunds Limited if I cease to be so resident or to perform such duties, or be married to or in a civil partnership with a person who performs such duties.

8B. I authorise Cofunds Limited to

Hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

Make on my behalf any claims to relief from tax in respect of my ISA investments.

If required, undertake searches with a reference agency for the purposes of verifying my identity. To do so, I understand that the reference agency may check the details I supply against any particulars on any database (public or otherwise) to which they have access. They may also use my details in the future to assist other companies for verification purposes. I understand that a record of the search will be retained as an identity search.

8C. I confirm that

I have viewed the Terms and Conditions of the Cofunds Platform (Self-directed) and by signing this application form I agree to be bound by them.

I have viewed the relevant product Key Features and fund specific information and/or Key Investor Information Documents (KIIDs) relating to my investment. A copy of the KIIDs can be found via the following link: www.cofunds.co.uk/investorsreportsandaccounts

8D. I understand that

There are fees (the Cofunds Platform Charges) for the use of Cofunds services. I authorise Cofunds to collect these fees from my Cofunds Cash Account. If there is insufficient money in my account, I authorise Cofunds to sell enough of my platform assets to pay these fees in accordance with the 'Our charges' section of the Terms and Conditions of the Cofunds Platform (Self-directed).

The Terms and Conditions of the Cofunds Platform are the standard terms upon which Cofunds intends to rely, and it is important that I read and understand the terms before agreeing to be bound by them. If there is any term or point I do not understand or do not wish to be bound by, I understand that I can request further information before signing this application.

My signed application form (once accepted by Cofunds) together with the Terms and Conditions of the Cofunds Platform (Self-directed) form my Customer Agreement with Cofunds Limited.

The commencement of my ISA may be delayed or rejected if this application form is not complete in all respects.

I am entitled to cancel my application in accordance with the Investment ISA Key Features and that if I choose to cancel my application, my investment shall be subject to market movement during the period from the date when my application is processed to the date my investment is sold.

8E. Fund Sale Instruction

If a Fund Sale Instruction applies to the segment linked to my investment, I accept and agree that funds will be sold to settle any outstanding fees and charges which have accrued prior to this date and all fees and charges payable hereafter.

8F. Service charge

I confirm that I have received my Fees and Charges Schedule and understand which fees and charges are applicable to my investment. I instruct Cofunds to pay my intermediary the service charge specified in Section 7 of this form, and in my Fees and Charges Schedule, from my relevant Cofunds payment account.

If I have an active Sale for Regular Payment, or the segment linked to my investment applies to a Fund Sale Instruction (as described in my Fees and Charges Schedule) and there is insufficient money within my relevant payment account, I authorise Cofunds to sell enough of my platform assets to pay the service charge in accordance with the relevant Terms and Conditions of the Cofunds Platform or Customer Agreement applicable to my investments/assets.

8G. Notes

If you require a Fund prospectus, please contact your intermediary or Fund Manager directly.

If you wish to attend/vote at unit holder or shareholder meetings, please tick this box

If you wish to download reports and accounts, you can do so at:

www.cofunds.co.uk/investorsreportsandaccounts

If you wish to receive paper copies of reports and accounts, product Key Features, other fund-specific information and/or KIIDs, please write to us at: Cofunds Limited, PO Box 1103, Chelmsford, CM99 2XY.

A copy of the Terms and Conditions can be found at: www.cofunds.co.uk/selfdirectedterms

Signature

X

Date

This form will be returned if it's not signed and dated.



Instruction to your Bank or Building Society to pay Direct Debits



Please fill in the whole form and send it to:
Cofunds Limited, PO Box 1103, Chelmsford, CM99 2XY

Name and full postal address of your Bank or Building Society

| | |
|----------------|--------------------------|
| To the Manager | Bank or Building Society |
| Address | |
| | |
| Postcode | |

Name(s) of Account Holder(s)

Bank/Building Society Account Number

Branch Sort Code

 - -

Banks and Building Societies may not accept Direct Debit instructions from some types of account.

Service User No.

| | | | | | |
|---|---|---|---|---|---|
| 6 | 0 | 0 | 2 | 6 | 7 |
|---|---|---|---|---|---|

Reference Number

For Cofunds Limited official use only

This is not part of the instruction to your Bank or Building Society.

Instruction to your Bank or Building Society

Please pay Cofunds Limited Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Cofunds Limited and, if so, details will be passed on electronically to my Bank/Building Society.

Signature

Date

This Guarantee should be detached and retained by the payer.

The Direct Debit Guarantee

- This Guarantee is offered by all Banks and Building Societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Cofunds Limited will notify you five working days in advance of your account being debited or as otherwise agreed. If you request Cofunds Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by Cofunds Limited or your Bank or Building Society, you are entitled to a full and immediate refund of the amount paid from your Bank or Building Society
 - If you receive a refund you are not entitled to, you must pay it back when Cofunds Limited asks you to
- You can cancel a Direct Debit at any time by simply contacting your Bank or Building Society. Written confirmation may be required. Please also notify us.

