

SELF-DIRECTED

Explicit Pricing

This form is to be used for Self-directed clients only, on Explicit Pricing. The ISA allowance for all investors is £15,240 for the 2016/2017 tax year.

Please ensure that this form is used with the correct Transfer Authority.

Please complete this application form using black ink and BLOCK CAPITALS and return to: Club Finance Ltd 9 Amberside House, Wood Lane, Hemel Hempstead, Herts, HP2 4TP

Cofunds Intermediary Authorisation Code

Intermediary's Client/Deal Reference

1 Personal details (please complete this section in full)

Existing Cofunds Client Reference

Mr/Mrs/Ms/Miss/Other

Surname

Full first name(s)

Male Female Date of Birth / /

National Insurance Number / /

If you **don't** have a National Insurance Number, please tick here

Please read the following sentence and confirm by ticking the box if applicable. If you can't confirm and tick the box, please complete the Individual FATCA Self-Certification Form that can be issued to you by your intermediary.

I confirm that I am solely UK resident for tax purposes and not a US citizen

Permanent residential address

Postcode

If at current address for less than two years, please supply previous address

Postcode

If more than one previous address in the last two years, please provide full details including the time at each address on a separate sheet of paper and staple securely to this application form.

2 Segmentation (for intermediary use only)

Please enter the **full** name of the segment as known by Cofunds using BLOCK CAPITALS

3 Nominated bank account

Complete this section to set up a new nominated bank account. We'll use the details below to pay any future income/withdrawals to.

Name of account holder

Bank/Building Society Account Number

Branch Sort Code - -

Building Society Roll Number

4 Transfer investment choices

4A. INVESTMENT SELECTION

For further details about the available funds, please refer to the Key Investor Information Documents (KIID) and/or Fund Key Features Document.

Fund manager, fund name and share class	Type of unit/share (delete as appropriate) ¹	Transfer %
(example) World Equity A Fund	N/A	%
<input type="text"/>	ACC/INC	%
<input type="text"/>	ACC/INC	%
<input type="text"/>	ACC/INC	%
<input type="text"/>	ACC/INC	%
<input type="text"/>	ACC/INC	%
<input type="text"/>	ACC/INC	%
<input type="text"/>	ACC/INC	%
Cash Reserve (this will be included as part of your ISA allowance and not placed into your cash account)		%
Total		100%

¹ACC/INC – if you don't specify ACC or INC in this column, and don't complete Section 5, Cofunds will invest into accumulation units/shares where available.

4 Transfer investment choices - continued

4B. TRANSFER IN (complete this section if you'd like to apply a fee to this transfer)

We'll invest the amount stated below into the Cash Reserve. To take the fee, you'll need to move the amount into your client's Cofunds Cash Account and complete an **Adviser Fee Agreement Form** and return to us along with this form. The fees won't be paid until we receive your client's affirmation.

Transferring provider	Fee amount (£)

5 Income (please tick one of the following options)

The option you choose will be applied to all income units/shares you hold within this product.

Retain in fund (default) – reinvest any income back into the fund

Consolidated monthly income – pay any income to my bank account on a monthly basis

Cofunds Cash Account – pay any income into my Cofunds Cash Account

If you already hold income units/shares within this product and you don't tick one of the boxes above, we'll apply your existing income option.

6 Service charge (for intermediary use only)

Service charge model name	Annual service charge ² %	VAT ³ <input type="checkbox"/> Yes <input type="checkbox"/> No
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² Taken monthly. If you have a tiered service charge you should enter the highest possible percentage.

³ Cofunds will use the VAT rate that's in place at the end of the month for which the calculation is being performed.

7 Declaration and authorisation

7A. I declare that

I am aged 18 years or over.

The information contained in this application form is correct to the best of my knowledge and belief.

I have not received financial advice from a financial adviser in relation to this investment.

All investment subscriptions made now and in the future belong to me.

I am applying to subscribe to a stocks and shares ISA for the 2016/2017 tax year and each subsequent year until further notice.

I have not subscribed and will not subscribe to more than my overall subscription allowance in total to a cash ISA and a stocks and shares ISA in the same tax year.

I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.

I am resident in the United Kingdom for tax purposes, or, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties. I will inform Cofunds Limited if I cease to be so resident or to perform such duties, or be married to or in a civil partnership with a person who performs such duties.

7B. I authorise Cofunds Limited to

Hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

Make on my behalf any claims to relief from tax in respect of my ISA investments.

If required, undertake searches with a reference agency for the purposes of verifying my identity. To do so, I understand that the reference agency may check the details I supply against any particulars on any database (public or otherwise) to which they have access. They may also use my details in the future to assist other companies for verification purposes. I understand that a record of the search will be retained as an identity search.

7C. I confirm that

I have viewed the Terms and Conditions of the Cofunds Platform (Self-directed) and by signing this application form I agree to be bound by them.

I have viewed the relevant product Key Features and fund specific information and/or Key Investor Information Documents (KIIDs) relating to my investment. A copy of the KIIDs can be found via the following link:

www.cofunds.co.uk/investorsreportsandaccounts

7D. I understand that

There are fees (the Cofunds Platform Charges) for the use of Cofunds services. I authorise Cofunds to collect these fees from my Cofunds Cash Account. If there is insufficient money in my account, I authorise Cofunds to sell enough of my platform assets to pay these fees in accordance with the 'Our charges' section of the Terms and Conditions of the Cofunds Platform (Self-directed).

The Terms and Conditions of the Cofunds Platform are the standard terms upon which Cofunds intends to rely, and it is important that I read and understand the terms before agreeing to be bound by them. If there is any term or point I do not understand or do not wish to be bound by, I understand that I can request further information before signing this application.

My signed application form (once accepted by Cofunds) together with the Terms and Conditions of the Cofunds Platform (Self-directed) form my Customer Agreement with Cofunds Limited.

The commencement of my ISA may be delayed or rejected if this application form is not complete in all respects.

I am entitled to cancel my application in accordance with the Investment ISA Key Features and that if I choose to cancel my application, my investment shall be subject to market movement during the period from the date when my application is processed to the date my investment is sold.

It's Cofunds normal business practice to process my conversion following receipt of my assets from my previous Fund/Plan Manager. The instruction to convert is then passed to the Fund/Plan Manager who will convert and confirm back to Cofunds upon completion. Cofunds upon receipt of confirmation will then reflect the change(s) to my accounts. This process is subject to change dependant on volumes and individual Fund/Plan Manager processing procedures. I authorise Cofunds to convert on my behalf as outlined above.

Cofunds will convert commission-included share class funds to their commission-free share class equivalent where available to my intermediary.

Cofunds will convert commission-free share class funds to commission-free exclusive share class funds where available to my intermediary.

Cofunds will convert any funds re-registered that are not available to me through my intermediary to the commission-free share class equivalent that is available to my intermediary.

7E. Fund Sale Instruction

If a Fund Sale Instruction applies to the segment linked to my investment, I accept and agree that funds will be sold to settle any outstanding fees and charges which have accrued prior to this date and all fees and charges payable hereafter.

7F. Service charge

I confirm that I have received my Fees and Charges Schedule and understand which fees and charges are applicable to my investment. I instruct Cofunds to pay my intermediary the service charge specified in Section 6 of this form, and in my Fees and Charges Schedule, from my relevant Cofunds payment account.

If I have an active Sale for Regular Payment, or the segment linked to my investment applies to a Fund Sale Instruction (as described in my Fees and Charges Schedule) and there is insufficient money within my relevant payment account, I authorise Cofunds to sell enough of my platform assets to pay the service charge in accordance with the relevant Terms and Conditions of the Cofunds Platform or Customer Agreement applicable to my investments/assets.

7G. Notes

If you require a Fund prospectus, please contact your intermediary or Fund Manager directly.

If you wish to attend/vote at unit holder or shareholder meetings, please tick this box

If you wish to download reports and accounts, you can do so at:

www.cofunds.co.uk/investorsreportsandaccounts

If you wish to receive paper copies of reports and accounts, product Key Features, other fund-specific information and/or KIIDs, please write to us at:

Cofunds Limited, PO Box 1103, Chelmsford, CM99 2XY.

A copy of the Terms and Conditions can be found at: www.cofunds.co.uk/selfdirectedterms

Number of attached Transfer Authority Forms

Signature 	Date
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This form will be returned if it's not signed and dated.

Issued and approved by Cofunds Limited, One Coleman Street, London, EC2R 5AA.

Registered in England and Wales No. 3965289. Authorised and regulated by the Financial Conduct Authority (FCA) under FCA Registration No. 194734.

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This transfer authority should only be used for either the transfer of a stocks and shares ISA or a cash ISA into a Cofunds stocks and shares ISA. Please note that a separate authority will be required for each Plan/Account Manager. If transferring from more than one Plan/Account Manager, please request more Transfer Authority Forms from your intermediary. Please ensure that you have signed both the Transfer Application Form and the Transfer Authority Form.

Existing Client reference

Please complete all details requested.

Please ensure that all details match those held by the existing Fund/Plan Manager. Any differences could cause delays to the requested transfer.

Name of Plan/Account Manager	
Address	
	Postcode

I hereby instruct my current ISA Manager to either transfer my holdings to Cofunds Nominees Limited or liquidate the assets within my ISA with immediate effect, and forward the proceeds as specified below to my new Plan/Account Manager at Cofunds Limited, PO Box 1103, Chelmsford, CM99 2XY. This transfer should include, where relevant, all former ISA and PEP investments. I confirm that the re-registration of the funds listed will not change the beneficial ownership from the current holder. I confirm that this transaction is exempt from Stamp Duty Reserve Tax (SDRT) by virtue of paragraph 6 of Schedule 19 of the Finance Act 1999.

Mr/Mrs/Ms/Miss/Other
Surname
Full first name(s)
Signature <input checked="" type="text"/>
Date

1 TeX

If your Plan/Account Manager doesn't offer this service or you wish to re-register/cash transfer certain funds only, please complete all other sections as applicable. TeX stands for Tax Incentivised Savings Association Exchange which is an industry network that allows Fund Managers and platforms to transfer assets on behalf of clients electronically. For stocks and shares ISA transfers, if your Plan/Account Manager offers this service you only need to complete this section. A list of TeX and non TeX Fund/Plan Managers can be found via the following link: www.cofunds.co.uk/docs/teXmembership

Account or plan numbers (this must be completed)	Re-register all Cofunds supported funds (please tick if applicable)	Complete cash transfer for any remaining funds (please tick if applicable)
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

2 Funds that you wish to keep via re-registration (stock transfer) (for non-TeX transfers only)

Please list all funds that you hold with the above Plan/Account Manager that you wish to retain when you transfer your investment to Cofunds. Please ensure the funds you choose are available through Cofunds and are compatible with your investment service. These funds may be converted as detailed in the Declaration.

Fund manager, fund name and share class	Account or plan numbers (this must be completed)	Type of unit/share (delete as appropriate)*
<input type="text"/>	<input type="text"/>	ACC/INC
<input type="text"/>	<input type="text"/>	ACC/INC
<input type="text"/>	<input type="text"/>	ACC/INC
<input type="text"/>	<input type="text"/>	ACC/INC
<input type="text"/>	<input type="text"/>	ACC/INC

*If you do not specify ACC or INC in this column, Cofunds will not be able to process your application. If you've chosen income units/shares, please ensure you complete Section 5 of the Investment ISA (stocks and shares) Transfer Application to have income paid to you.

3 Funds that you wish to sell (stocks and shares cash transfer)

Please list all funds that you hold with the above Plan/Account Manager that you wish to sell and transfer the proceeds to Cofunds. Please also complete Section 4 and Section 5 (if applicable) of the Investment ISA (stocks and shares) Transfer Application to tell us which funds you wish to reinvest into.

Fund manager, fund name and share class	Account or plan numbers (this must be completed)
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

4 Cash ISA transfer

If applicable, please indicate either of the following to be transferred into your Cofunds stocks and shares ISA:

All my cash ISA OR An amount of my cash ISA £ Sort Code - -

Please state the notice period (if any), for you to transfer your cash ISA Days Account or plan numbers (this must be completed)

5 Current tax year subscriptions

Which year's ISA do you want to transfer? Current Previous Both

If you have ticked Current or Both, please state the current year subscriptions to date £

Please note: if this isn't completed this may delay your transfer and only previous years subscriptions will be transferred.